

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure  
As of August 28, 2026

| Financial Row | US\$ Equivalent |
|---------------|-----------------|
|---------------|-----------------|

Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges

|                                                                           |                      |
|---------------------------------------------------------------------------|----------------------|
| Net Ledger Balance - Cash                                                 | \$409,168,007        |
| Net Ledger Balance - Securities (at market)                               | \$42,910,126         |
| Net unrealized profit (loss) in open futures contracts                    | (\$145,355)          |
| Market value of open option contracts purchased on a contract market      | \$117                |
| Market value of open option contracts granted (sold) on a contract market | (\$13,477)           |
| Accounts liquidating to a deficit and accounts with debit balances        | \$1,386,920          |
| <b>Amount Required to be set aside</b>                                    | <b>\$453,306,337</b> |
| Cash in Banks                                                             | \$289,741,392        |
| Securities deposited in Banks                                             | \$225,630,730        |
| Securities in lieu of Cash                                                | \$42,910,126         |
| Cash with derivatives clearing organizations                              | \$195,440            |
| Net settlement of derivatives clearing organizations                      | (\$13,477)           |
| Cash with other futures commission merchants                              | \$2,266,674          |
| Securities with other future commission merchants                         | \$19,877,200         |
| <b>Amount Being Held</b>                                                  | <b>\$580,608,084</b> |

|                     |                      |
|---------------------|----------------------|
| <b>Excess Funds</b> | <b>\$127,301,748</b> |
|---------------------|----------------------|

Statement of Secured Amounts and Funds Held in Separate

|                                                                    |                  |
|--------------------------------------------------------------------|------------------|
| Net Ledger Balance - Cash                                          | 2,110,042        |
| Net unrealized profit (loss) in open futures contracts             | 84,282           |
| Accounts liquidating to a deficit and accounts with debit balances | 16,505           |
| <b>Amount Required to be set aside</b>                             | <b>2,210,829</b> |
| Cash in Banks                                                      | 2,256,430        |
| Cash with other futures commission merchants                       | 997,822          |
| Unrealized gain (loss) on open futures contacts                    | 84,282           |
| <b>Amount Being Held</b>                                           | <b>3,338,534</b> |

|                     |                  |
|---------------------|------------------|
| <b>Excess Funds</b> | <b>1,127,705</b> |
|---------------------|------------------|

Statement of Swap Amounts and Funds Held in Separate Accounts

|                                                                    |                    |
|--------------------------------------------------------------------|--------------------|
| Net Ledger Balance - Cash                                          | \$273              |
| Net unrealized profit (loss) in open futures contracts             | \$5                |
| Accounts liquidating to a deficit and accounts with debit balances | \$31               |
| <b>Amount Required to be set aside</b>                             | <b>\$309</b>       |
| Cash in Banks                                                      | \$3,624,049        |
| Cash with derivatives clearing organizations                       | \$376,213          |
| <b>Amount Being Held</b>                                           | <b>\$4,000,262</b> |

|              |             |
|--------------|-------------|
| Excess Funds | \$3,999,953 |
|--------------|-------------|