

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of September 21, 2026

Financial Row	US\$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	\$422,137,135
Net Ledger Balance - Securities (at market)	\$51,808,788
Net unrealized profit (loss) in open futures contracts	(\$744,127)
Market value of open option contracts purchased on a contract market	\$117
Market value of open option contracts granted (sold) on a contract market	(\$3,216)
Accounts liquidating to a deficit and accounts with debit balances	\$1,786,289
Amount Required to be set aside	\$474,984,985
Cash in Banks	\$311,942,762
Securities deposited in Banks	\$225,228,120
Securities in lieu of Cash	\$51,808,788
Cash with derivatives clearing organizations	\$210,467
Net settlement of derivatives clearing organizations	(\$3,216)
Cash with other futures commission merchants	(\$652,337)
Securities with other future commission merchants	\$19,918,500
Amount Being Held	\$608,453,084
Excess Funds	\$133,468,099
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	2,088,120
Net unrealized profit (loss) in open futures contracts	5,450
Accounts liquidating to a deficit and accounts with debit balances	20,723
Amount Required to be set aside	2,114,293
Cash in Banks	2,275,977
Cash with other futures commission merchants	887,185
Unrealized gain (loss) on open futures contacts	5,450
Amount Being Held	3,168,612
Excess Funds	1,054,319
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	\$15,371
Market value of open option contracts purchased on a contract market	\$1,988
Accounts liquidating to a deficit and accounts with debit balances	\$3,704
Amount Required to be set aside	\$21,063
Cash in Banks	\$1,633,961
Cash with derivatives clearing organizations	\$2,385,652
Amount Being Held	\$4,019,613
Excess Funds	\$3,998,550