

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of September 28, 2026

Financial RowUS\$ Equivalent

Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges

Net Ledger Balance - Cash	\$423,763,999
Net Ledger Balance - Securities (at market)	\$57,609,016
Net unrealized profit (loss) in open futures contracts	(\$1,931,081)
Market value of open option contracts purchased on a contract market	\$117
Market value of open option contracts granted (sold) on a contract market	(\$5,186)
Accounts liquidating to a deficit and accounts with debit balances	\$1,667,822
Amount Required to be set aside	\$481,104,687
Cash in Banks	\$311,369,439
Securities deposited in Banks	\$225,426,470
Securities in lieu of Cash	\$57,609,016
Cash with derivatives clearing organizations	\$93,466
Net settlement of derivatives clearing organizations	(\$5,186)
Cash with other futures commission merchants	\$1,778,050
Securities with other future commission merchants	\$19,562,100
Amount Being Held	\$615,833,355

Excess Funds	\$134,728,668
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Statement of Secured Amounts and Funds Held in Separate

Net Ledger Balance - Cash	2,034,230
Net unrealized profit (loss) in open futures contracts	16,373
Accounts liquidating to a deficit and accounts with debit balances	14,300
Amount Required to be set aside	2,064,903
Cash in Banks	2,210,334
Cash with other futures commission merchants	838,777
Unrealized gain (loss) on open futures contacts	16,373
Amount Being Held	3,065,484

Excess Funds	1,000,581
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Statement of Swap Amounts and Funds Held in Separate Accounts

Net Ledger Balance - Cash	\$1,953
Market value of open option contracts purchased on a contract market	\$380
Accounts liquidating to a deficit and accounts with debit balances	\$17,424
Amount Required to be set aside	\$19,757
Cash in Banks	\$1,633,961
Cash with derivatives clearing organizations	\$2,361,193

Amount Being Held	\$3,995,154
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Excess Funds	\$3,975,396
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