

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of October 1, 2026

Financial Row	US\$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	\$429,353,642
Net Ledger Balance - Securities (at market)	\$65,988,574
Net unrealized profit (loss) in open futures contracts	(\$1,452,706)
Market value of open option contracts purchased on a contract market	\$117
Market value of open option contracts granted (sold) on a contract market	(\$1,922)
Accounts liquidating to a deficit and accounts with debit balances	\$1,579,038
Amount Required to be set aside	\$495,466,743
Cash in Banks	\$352,138,158
Securities deposited in Banks	\$192,712,500
Securities in lieu of Cash	\$65,988,574
Cash with derivatives clearing organizations	\$88,372
Net settlement of derivatives clearing organizations	(\$1,922)
Cash with other futures commission merchants	\$2,034,911
Securities with other future commission merchants	\$19,584,500
Amount Being Held	\$632,545,093
Excess Funds	\$137,078,350
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	2,092,601
Net unrealized profit (loss) in open futures contracts	(39,325)
Accounts liquidating to a deficit and accounts with debit balances	26,382
Amount Required to be set aside	2,079,658
Cash in Banks	2,262,378
Cash with other futures commission merchants	904,760
Unrealized gain (loss) on open futures contacts	(39,325)
Amount Being Held	3,127,814
Excess Funds	1,048,156
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	\$13,889
Market value of open option contracts purchased on a contract market	\$3,176
Accounts liquidating to a deficit and accounts with debit balances	\$2,296
Amount Required to be set aside	\$19,360
Cash in Banks	\$1,640,548
Cash with derivatives clearing organizations	\$2,354,793
Amount Being Held	\$3,995,341
Excess Funds	\$3,975,980