

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of October 7, 2026

Financial Row	US\$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	\$429,171,592
Net Ledger Balance - Securities (at market)	\$76,247,357
Net unrealized profit (loss) in open futures contracts	(\$1,521,022)
Market value of open option contracts purchased on a contract market	\$117
Market value of open option contracts granted (sold) on a contract market	(\$8)
Accounts liquidating to a deficit and accounts with debit balances	\$1,538,723
Amount Required to be set aside	\$505,436,758
Cash in Banks	\$352,727,743
Securities deposited in Banks	\$192,771,760
Securities in lieu of Cash	\$76,247,357
Cash with derivatives clearing organizations	\$1,644
Net settlement of derivatives clearing organizations	(\$8)
Cash with other futures commission merchants	\$1,656,470
Securities with other future commission merchants	\$19,599,100
Amount Being Held	\$643,004,066
Excess Funds	\$137,567,307
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	2,002,401
Net unrealized profit (loss) in open futures contracts	(33,636)
Accounts liquidating to a deficit and accounts with debit balances	18,613
Amount Required to be set aside	1,987,377
Cash in Banks	2,312,298
Cash with other futures commission merchants	775,209
Unrealized gain (loss) on open futures contacts	(33,636)
Amount Being Held	3,053,871
Excess Funds	1,066,494
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	\$24,582
Market value of open option contracts purchased on a contract market	\$5,517
Accounts liquidating to a deficit and accounts with debit balances	\$4,122
Amount Required to be set aside	\$34,221
Cash in Banks	\$1,639,548
Cash with derivatives clearing organizations	\$2,360,502
Amount Being Held	\$4,000,050
Excess Funds	\$3,965,828