

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of October 8, 2026

Financial Row	US\$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	\$429,720,013
Net Ledger Balance - Securities (at market)	\$62,424,648
Net unrealized profit (loss) in open futures contracts	(\$1,063,607)
Market value of open option contracts purchased on a contract market	\$117
Market value of open option contracts granted (sold) on a contract market	(\$181)
Accounts liquidating to a deficit and accounts with debit balances	\$1,638,505
Amount Required to be set aside	\$492,719,497
Cash in Banks	\$354,790,435
Securities deposited in Banks	\$192,778,340
Securities in lieu of Cash	\$62,424,648
Cash with derivatives clearing organizations	\$2,342
Net settlement of derivatives clearing organizations	(\$181)
Cash with other futures commission merchants	\$1,536,953
Securities with other future commission merchants	\$19,601,100
Amount Being Held	\$631,133,637
Excess Funds	\$138,414,141
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	2,047,375
Net unrealized profit (loss) in open futures contracts	(43,958)
Accounts liquidating to a deficit and accounts with debit balances	8,434
Amount Required to be set aside	2,011,852
Cash in Banks	2,310,824
Cash with other futures commission merchants	813,196
Unrealized gain (loss) on open futures contacts	(43,958)
Amount Being Held	3,080,062
Excess Funds	1,068,210
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	\$17,418
Market value of open option contracts purchased on a contract market	\$7,225
Accounts liquidating to a deficit and accounts with debit balances	\$14,221
Amount Required to be set aside	\$38,865
Cash in Banks	\$1,639,548
Cash with derivatives clearing organizations	\$2,353,337
Amount Being Held	\$3,992,885
Excess Funds	\$3,954,020