

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of October 12, 2026

Financial Row	US\$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	\$428,974,089
Net Ledger Balance - Securities (at market)	\$59,836,447
Net unrealized profit (loss) in open futures contracts	(\$493,047)
Market value of open option contracts purchased on a contract market	\$117
Market value of open option contracts granted (sold) on a contract market	(\$164)
Accounts liquidating to a deficit and accounts with debit balances	\$1,837,944
Amount Required to be set aside	\$490,155,386
Cash in Banks	\$356,134,226
Securities deposited in Banks	\$192,756,870
Securities in lieu of Cash	\$59,836,447
Cash with derivatives clearing organizations	\$3,484
Net settlement of derivatives clearing organizations	(\$164)
Cash with other futures commission merchants	(\$656,009)
Securities with other future commission merchants	\$19,607,900
Amount Being Held	\$627,682,754
Excess Funds	\$137,527,368
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	2,031,125
Net unrealized profit (loss) in open futures contracts	(26,274)
Accounts liquidating to a deficit and accounts with debit balances	5,888
Amount Required to be set aside	2,010,739
Cash in Banks	2,366,750
Cash with other futures commission merchants	777,191
Unrealized gain (loss) on open futures contacts	(26,274)
Amount Being Held	3,117,667
Excess Funds	1,106,928
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	\$16,961
Market value of open option contracts purchased on a contract market	\$1,323
Accounts liquidating to a deficit and accounts with debit balances	\$19,113
Amount Required to be set aside	\$37,398
Cash in Banks	\$1,639,548
Cash with derivatives clearing organizations	\$2,336,103
Amount Being Held	\$3,975,651
Excess Funds	\$3,938,253