

NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and
Tradovate

DailyDisclosure
As of October 20, 2026

Financial Row	US\$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	\$430,062,029
Net Ledger Balance - Securities (at market)	\$46,272,370
Net unrealized profit (loss) in open futures contracts	(\$1,156,820)
Market value of open option contracts purchased on a contract market	\$117
Market value of open option contracts granted (sold) on a contract market	(\$479)
Accounts liquidating to a deficit and accounts with debit balances	\$1,641,587
Amount Required to be set aside	\$476,818,805
Cash in Banks	\$336,769,354
Securities deposited in Banks	\$212,608,000
Securities in lieu of Cash	\$46,272,370
Cash with derivatives clearing organizations	\$7,613
Net settlement of derivatives clearing organizations	(\$479)
Cash with other futures commission merchants	\$2,874,275
Securities with other future commission merchants	\$19,621,900
Amount Being Held	\$618,153,033
Excess Funds	\$141,334,228
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,855,323
Net unrealized profit (loss) in open futures contracts	(26,916)
Accounts liquidating to a deficit and accounts with debit balances	8,072
Amount Required to be set aside	1,836,479
Cash in Banks	2,223,659
Cash with other futures commission merchants	853,602
Unrealized gain (loss) on open futures contacts	(26,916)
Amount Being Held	3,050,345
Excess Funds	1,213,865
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	\$66,161
Market value of open option contracts purchased on a contract market	\$4,490
Accounts liquidating to a deficit and accounts with debit balances	\$4,601
Amount Required to be set aside	\$75,253
Cash in Banks	\$1,639,548
Cash with derivatives clearing organizations	\$2,332,915
Amount Being Held	\$3,972,463
Excess Funds	\$3,897,210