NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of October 29, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$432,814,479
Net Ledger Balance - Securities (at market)	$50,763,143
Net unrealized profit (loss) in open futures contracts	($367,638)
Market value of open option contracts purchased on a contract market	$116
Market value of open option contracts granted (sold) on a contract market	($2,361)
Accounts liquidating to a deficit and accounts with debit balances	$1,821,852
Amount Required to be set aside	**$485,029,590**
Cash in Banks	$345,514,212
Securities deposited in Banks	$212,879,230
Securities in lieu of Cash	$50,763,143
Cash with derivatives clearing organizations	$69,921
Net settlement of derivatives clearing organizations	($2,361)
Cash with other futures commission merchants	$1,707,225
Securities with other future commission merchants	$19,651,100
Amount Being Held	**$630,582,469**
Excess Funds	**$145,552,879**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,842,737
Net unrealized profit (loss) in open futures contracts	4,476
Accounts liquidating to a deficit and accounts with debit balances	5,474
Amount Required to be set aside	**1,852,687**
Cash in Banks	2,263,465
Cash with other futures commission merchants	859,834
Unrealized gain (loss) on open futures contacts	4,476
Amount Being Held	**3,127,775**
Excess Funds	**1,275,087**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$85,803
Market value of open option contracts purchased on a contract market	$6,842
Accounts liquidating to a deficit and accounts with debit balances	$7,190
Amount Required to be set aside	**$99,835**
Cash in Banks	$1,639,548
Cash with derivatives clearing organizations	$2,314,935
Amount Being Held	**$3,954,482**
Excess Funds	**$3,854,647**