NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 02, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$424,854,020
Net Ledger Balance - Securities (at market)	$46,925,106
Net unrealized profit (loss) in open futures contracts	($2,880,049)
Market value of open option contracts purchased on a contract market	$116
Market value of open option contracts granted (sold) on a contract market	($3,233)
Accounts liquidating to a deficit and accounts with debit balances	$1,827,981
Amount Required to be set aside	**$470,723,941**
Cash in Banks	$335,795,951
Securities deposited in Banks	$212,971,450
Securities in lieu of Cash	$46,925,106
Cash with derivatives clearing organizations	$97,505
Net settlement of derivatives clearing organizations	($3,233)
Cash with other futures commission merchants	$2,187,575
Securities with other future commission merchants	$19,663,200
Amount Being Held	**$617,637,555**
Excess Funds	**$146,913,614**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,804,806
Net unrealized profit (loss) in open futures contracts	(3)
Accounts liquidating to a deficit and accounts with debit balances	4,305
Amount Required to be set aside	**1,809,109**
Cash in Banks	2,267,849
Cash with other futures commission merchants	837,705
Unrealized gain (loss) on open futures contacts	(3)
Amount Being Held	**3,105,550**
Excess Funds	**1,296,442**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$86,742
Market value of open option contracts purchased on a contract market	$2,997
Accounts liquidating to a deficit and accounts with debit balances	$26,680
Amount Required to be set aside	**$116,419**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,307,329
Amount Being Held	**$3,951,960**
Excess Funds	**$3,835,541**