NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 03, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$428,856,250
Net Ledger Balance - Securities (at market)	$44,713,241
Net unrealized profit (loss) in open futures contracts	($2,452,549)
Market value of open option contracts purchased on a contract market	$116
Market value of open option contracts granted (sold) on a contract market	($731)
Accounts liquidating to a deficit and accounts with debit balances	$1,854,461
Amount Required to be set aside	**$472,970,788**
Cash in Banks	$340,462,121
Securities deposited in Banks	$212,950,290
Securities in lieu of Cash	$44,713,241
Cash with derivatives clearing organizations	$101,187
Net settlement of derivatives clearing organizations	($731)
Cash with other futures commission merchants	$1,864,740
Securities with other future commission merchants	$19,663,000
Amount Being Held	**$619,753,848**
Excess Funds	**$146,783,059**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,763,479
Net unrealized profit (loss) in open futures contracts	(4,455)
Accounts liquidating to a deficit and accounts with debit balances	21,720
Amount Required to be set aside	**1,780,743**
Cash in Banks	2,266,288
Cash with other futures commission merchants	809,306
Unrealized gain (loss) on open futures contacts	(4,455)
Amount Being Held	**3,071,139**
Excess Funds	**1,290,395**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$127,354
Market value of open option contracts purchased on a contract market	$3,785
Accounts liquidating to a deficit and accounts with debit balances	$9,489
Amount Required to be set aside	**$140,629**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,322,165
Amount Being Held	**$3,966,796**
Excess Funds	**$3,826,167**