NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 04, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$427,731,473
Net Ledger Balance - Securities (at market)	$47,143,481
Net unrealized profit (loss) in open futures contracts	($1,679,839)
Market value of open option contracts purchased on a contract market	$116
Market value of open option contracts granted (sold) on a contract market	($3,589)
Accounts liquidating to a deficit and accounts with debit balances	$2,011,457
Amount Required to be set aside	**$475,203,099**
Cash in Banks	$340,568,717
Securities deposited in Banks	$212,986,490
Securities in lieu of Cash	$47,143,481
Cash with derivatives clearing organizations	$97,626
Net settlement of derivatives clearing organizations	($3,589)
Cash with other futures commission merchants	$1,237,031
Securities with other future commission merchants	$19,665,400
Amount Being Held	**$621,695,156**
Excess Funds	**$146,492,057**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,788,424
Net unrealized profit (loss) in open futures contracts	18,603
Accounts liquidating to a deficit and accounts with debit balances	19,700
Amount Required to be set aside	**1,826,726**
Cash in Banks	2,271,655
Cash with other futures commission merchants	804,532
Unrealized gain (loss) on open futures contacts	18,603
Amount Being Held	**3,094,790**
Excess Funds	**1,268,064**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$129,182
Market value of open option contracts purchased on a contract market	$5,338
Accounts liquidating to a deficit and accounts with debit balances	$6,536
Amount Required to be set aside	**$141,057**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,317,973
Amount Being Held	**$3,962,604**
Excess Funds	**$3,821,547**