NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 05, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$412,463,110
Net Ledger Balance - Securities (at market)	$55,639,950
Net unrealized profit (loss) in open futures contracts	($3,140,951)
Market value of open option contracts purchased on a contract market	$1,454
Market value of open option contracts granted (sold) on a contract market	$0
Accounts liquidating to a deficit and accounts with debit balances	$2,461,307
Amount Required to be set aside	**$467,424,869**
Cash in Banks	$326,056,098
Securities deposited in Banks	$213,165,130
Securities in lieu of Cash	$55,639,950
Cash with derivatives clearing organizations	$94,628
Net settlement of derivatives clearing organizations	$1,338
Cash with other futures commission merchants	$41,021
Securities with other future commission merchants	$19,672,500
Amount Being Held	**$614,670,664**
Excess Funds	**$147,245,795**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,807,870
Net unrealized profit (loss) in open futures contracts	(2,880)
Accounts liquidating to a deficit and accounts with debit balances	24,479
Amount Required to be set aside	**1,829,468**
Cash in Banks	2,269,963
Cash with other futures commission merchants	788,184
Unrealized gain (loss) on open futures contacts	(2,880)
Amount Being Held	**3,055,266**
Excess Funds	**1,225,798**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$140,142
Market value of open option contracts purchased on a contract market	$15,384
Accounts liquidating to a deficit and accounts with debit balances	$11,758
Amount Required to be set aside	**$167,284**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,336,786
Amount Being Held	**$3,981,417**
Excess Funds	**$3,814,133**