# NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

## DailyDisclosure
## As of November 06, 2026

| Financial Row | US$ Equivalent |
|---|---|
| **Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges** | |
| Net Ledger Balance - Cash | $416,414,831 |
| Net Ledger Balance - Securities (at market) | $51,712,433 |
| Net unrealized profit (loss) in open futures contracts | ($2,631,475) |
| Market value of open option contracts purchased on a contract market | $116 |
| Market value of open option contracts granted (sold) on a contract market | ($2,426) |
| Accounts liquidating to a deficit and accounts with debit balances | $2,043,375 |
| **Amount Required to be set aside** | **$467,536,855** |
| Cash in Banks | $330,478,343 |
| Securities deposited in Banks | $213,124,930 |
| Securities in lieu of Cash | $51,712,433 |
| Cash with derivatives clearing organizations | $133,811 |
| Net settlement of derivatives clearing organizations | ($2,426) |
| Cash with other futures commission merchants | $1,370,516 |
| Securities with other future commission merchants | $19,677,900 |
| **Amount Being Held** | **$616,495,507** |
| **Excess Funds** | **$148,958,652** |
| **Statement of Secured Amounts and Funds Held in Separate** | |
| Net Ledger Balance - Cash | 1,827,670 |
| Net unrealized profit (loss) in open futures contracts | (26,043) |
| Accounts liquidating to a deficit and accounts with debit balances | 20,703 |
| **Amount Required to be set aside** | **1,822,329** |
| Cash in Banks | 2,265,272 |
| Cash with other futures commission merchants | 783,512 |
| Unrealized gain (loss) on open futures contacts | (26,043) |
| **Amount Being Held** | **3,022,741** |
| **Excess Funds** | **1,200,412** |
| **Statement of Swap Amounts and Funds Held in Separate Accounts** | |
| Net Ledger Balance - Cash | $144,951 |
| Market value of open option contracts purchased on a contract market | $15,867 |
| Accounts liquidating to a deficit and accounts with debit balances | $21,991 |
| **Amount Required to be set aside** | **$182,809** |
| Cash in Banks | $1,644,631 |
| Cash with derivatives clearing organizations | $2,343,547 |
| **Amount Being Held** | **$3,988,178** |
| **Excess Funds** | **$3,805,368** |