NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 09, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$415,839,119
Net Ledger Balance - Securities (at market)	$47,804,700
Net unrealized profit (loss) in open futures contracts	($1,745,432)
Market value of open option contracts purchased on a contract market	$116
Market value of open option contracts granted (sold) on a contract market	($15,236)
Accounts liquidating to a deficit and accounts with debit balances	$1,941,192
Amount Required to be set aside	**$463,824,458**
Cash in Banks	$331,993,612
Securities deposited in Banks	$213,076,950
Securities in lieu of Cash	$47,804,700
Cash with derivatives clearing organizations	$258,370
Net settlement of derivatives clearing organizations	($15,236)
Cash with other futures commission merchants	$1,168,453
Securities with other future commission merchants	$19,678,100
Amount Being Held	**$613,964,950**
Excess Funds	**$150,140,492**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,846,399
Net unrealized profit (loss) in open futures contracts	11,838
Accounts liquidating to a deficit and accounts with debit balances	10,047
Amount Required to be set aside	**1,868,284**
Cash in Banks	2,266,826
Cash with other futures commission merchants	784,132
Unrealized gain (loss) on open futures contacts	11,838
Amount Being Held	**3,062,796**
Excess Funds	**1,194,512**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$134,101
Market value of open option contracts purchased on a contract market	$7,954
Accounts liquidating to a deficit and accounts with debit balances	$39,672
Amount Required to be set aside	**$181,727**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,316,962
Amount Being Held	**$3,961,592**
Excess Funds	**$3,779,865**