NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 10, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$416,719,198
Net Ledger Balance - Securities (at market)	$43,919,020
Net unrealized profit (loss) in open futures contracts	($1,994,655)
Market value of open option contracts purchased on a contract market	$11,549
Market value of open option contracts granted (sold) on a contract market	$0
Accounts liquidating to a deficit and accounts with debit balances	$2,036,908
Amount Required to be set aside	**$460,692,021**
Cash in Banks	$333,077,397
Securities deposited in Banks	$212,826,400
Securities in lieu of Cash	$43,919,020
Cash with derivatives clearing organizations	$293,888
Net settlement of derivatives clearing organizations	$11,434
Cash with other futures commission merchants	$1,367,241
Securities with other future commission merchants	$19,670,500
Amount Being Held	**$611,165,880**
Excess Funds	**$150,473,860**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,842,819
Net unrealized profit (loss) in open futures contracts	24,587
Accounts liquidating to a deficit and accounts with debit balances	14,899
Amount Required to be set aside	**1,882,304**
Cash in Banks	2,269,367
Cash with other futures commission merchants	772,795
Unrealized gain (loss) on open futures contacts	24,587
Amount Being Held	**3,066,749**
Excess Funds	**1,184,445**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$170,575
Market value of open option contracts purchased on a contract market	$7,651
Accounts liquidating to a deficit and accounts with debit balances	$12,845
Amount Required to be set aside	**$191,071**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,318,634
Amount Being Held	**$3,963,264**
Excess Funds	**$3,772,193**