NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 13, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$417,922,801
Net Ledger Balance - Securities (at market)	$32,885,293
Net unrealized profit (loss) in open futures contracts	($2,117,033)
Market value of open option contracts purchased on a contract market	$7,278
Market value of open option contracts granted (sold) on a contract market	$0
Accounts liquidating to a deficit and accounts with debit balances	$2,066,059
Amount Required to be set aside	**$450,764,397**
Cash in Banks	$336,408,917
Securities deposited in Banks	$212,727,970
Securities in lieu of Cash	$32,885,293
Cash with derivatives clearing organizations	$269,921
Net settlement of derivatives clearing organizations	$7,162
Cash with other futures commission merchants	$1,935,887
Securities with other future commission merchants	$19,675,800
Amount Being Held	**$603,910,950**
Excess Funds	**$153,146,553**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,840,938
Net unrealized profit (loss) in open futures contracts	50,643
Accounts liquidating to a deficit and accounts with debit balances	4,852
Amount Required to be set aside	**1,896,433**
Cash in Banks	2,310,089
Cash with other futures commission merchants	737,883
Unrealized gain (loss) on open futures contacts	50,643
Amount Being Held	**3,098,615**
Excess Funds	**1,202,182**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$168,145
Market value of open option contracts purchased on a contract market	$14,097
Accounts liquidating to a deficit and accounts with debit balances	$20,584
Amount Required to be set aside	**$202,827**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,297,099
Amount Being Held	**$3,941,729**
Excess Funds	**$3,738,903**