NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

DailyDisclosure
As of November 17, 2026

Financial Row	US$ Equivalent
Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges	
Net Ledger Balance - Cash	$416,888,294
Net Ledger Balance - Securities (at market)	$27,631,389
Net unrealized profit (loss) in open futures contracts	($3,309,003)
Market value of open option contracts purchased on a contract market	$1
Market value of open option contracts granted (sold) on a contract market	($4,530)
Accounts liquidating to a deficit and accounts with debit balances	$2,230,497
Amount Required to be set aside	**$443,436,649**
Cash in Banks	$334,999,920
Securities deposited in Banks	$212,850,770
Securities in lieu of Cash	$27,631,389
Cash with derivatives clearing organizations	$266,611
Net settlement of derivatives clearing organizations	($4,530)
Cash with other futures commission merchants	$2,074,149
Securities with other future commission merchants	$19,683,500
Amount Being Held	**$597,501,809**
Excess Funds	**$154,065,160**
Statement of Secured Amounts and Funds Held in Separate	
Net Ledger Balance - Cash	1,836,750
Net unrealized profit (loss) in open futures contracts	(3,988)
Accounts liquidating to a deficit and accounts with debit balances	13,361
Amount Required to be set aside	**1,846,123**
Cash in Banks	2,194,712
Cash with other futures commission merchants	829,801
Unrealized gain (loss) on open futures contacts	(3,988)
Amount Being Held	**3,020,524**
Excess Funds	**1,174,401**
Statement of Swap Amounts and Funds Held in Separate Accounts	
Net Ledger Balance - Cash	$207,604
Market value of open option contracts purchased on a contract market	$8,294
Accounts liquidating to a deficit and accounts with debit balances	$14,933
Amount Required to be set aside	**$230,831**
Cash in Banks	$1,644,631
Cash with derivatives clearing organizations	$2,280,546
Amount Being Held	**$3,925,177**
Excess Funds	**$3,694,346**