# NinjaTrader Clearing LLC DBA NinjaTrader, Kraken Derivatives US and Tradovate

## DailyDisclosure
## As of November 23, 2026

| Financial Row | US$ Equivalent |
|---|---|
| **Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges** | |
| Net Ledger Balance - Cash | $410,305,984 |
| Net Ledger Balance - Securities (at market) | $24,942,526 |
| Net unrealized profit (loss) in open futures contracts | ($2,380,832) |
| Market value of open option contracts purchased on a contract market | $1 |
| Market value of open option contracts granted (sold) on a contract market | ($62,122) |
| Accounts liquidating to a deficit and accounts with debit balances | $2,370,208 |
| **Amount Required to be set aside** | **$435,175,766** |
| Cash in Banks | $331,660,867 |
| Securities deposited in Banks | $212,971,590 |
| Securities in lieu of Cash | $24,942,526 |
| Cash with derivatives clearing organizations | $398,626 |
| Net settlement of derivatives clearing organizations | ($62,122) |
| Cash with other futures commission merchants | $1,784,791 |
| Securities with other future commission merchants | $19,696,000 |
| **Amount Being Held** | **$591,392,278** |
| **Excess Funds** | **$156,216,512** |
| **Statement of Secured Amounts and Funds Held in Separate** | |
| Net Ledger Balance - Cash | 1,735,643 |
| Net unrealized profit (loss) in open futures contracts | (13,982) |
| Accounts liquidating to a deficit and accounts with debit balances | 8,203 |
| **Amount Required to be set aside** | **1,729,863** |
| Cash in Banks | 2,247,628 |
| Cash with other futures commission merchants | 713,355 |
| Unrealized gain (loss) on open futures contacts | (13,982) |
| **Amount Being Held** | **2,947,000** |
| **Excess Funds** | **1,217,136** |
| **Statement of Swap Amounts and Funds Held in Separate Accounts** | |
| Net Ledger Balance - Cash | $191,353 |
| Market value of open option contracts purchased on a contract market | $7,358 |
| Accounts liquidating to a deficit and accounts with debit balances | $45,552 |
| **Amount Required to be set aside** | **$244,263** |
| Cash in Banks | $1,644,631 |
| Cash with derivatives clearing organizations | $2,234,862 |
| **Amount Being Held** | **$3,879,493** |
| **Excess Funds** | **$3,635,230** |